



THE STATE BAR  
OF CALIFORNIA

845 SOUTH FIGUEROA STREET, LOS ANGELES, CALIFORNIA 90017-2515

OFFICE OF CHIEF TRIAL COUNSEL  
ENFORCEMENT UNIT

Gregory P. Dresser, Interim Chief Trial Counsel

TELEPHONE: (213) 765-1000

FAX: (213) 765-1318

<http://www.calbar.ca.gov>

DIRECT DIAL: [REDACTED] [REDACTED]

September 7, 2016

**PERSONAL AND CONFIDENTIAL**

[REDACTED]

Re: Respondent: [REDACTED]  
Case Number: 15-C [REDACTED]  
Complainant: [REDACTED]

**Warning Letter**

Dear Ms. [REDACTED]

This letter is directed to you based on my understanding that you are representing W [REDACTED] relation to the above-referenced matter. If I am incorrect in this regard, please notify me immediately so I can re-direct this letter.

The State Bar of California has completed its investigation of the allegations raised in the above-referenced complaint. As part of our investigation your client was provided with an opportunity to provide an explanation for his conduct as related to the complaint. Based upon a review of the findings of our investigation, we concluded that there was substantial evidence of a violation of Business and Professions Code, section 6068(o)(3). However, in the exercise of our discretion, we have decided to close this complaint with the issuance of this warning letter and will take no disciplinary action against your client at this time.

Our decision to issue this warning letter is based upon the following facts: [REDACTED]

[REDACTED]

[REDACTED]  
September 7, 2016

Page 2

Please be advised of the following:

- This letter is issued pursuant to Rule 2601 of the Rules of Procedure of the State Bar of California and does *not* constitute the imposition of discipline. Only the California Supreme Court or, in limited instances, the State Bar Court, can impose discipline.
- It is the intention of the Office of Chief Trial Counsel that this letter not be considered as evidence of professional misconduct in any future proceeding, court hearing, or application for employment.
- The complainant will be advised of this disposition, but this letter is not a matter of public record and can only be disclosed or released under one of the limited exceptions allowed by law or contained in the rules and regulations governing the State Bar of California.
- We may reopen the matter if we discover new material evidence, or if the Chief Trial Counsel's designee, in his or her discretion, otherwise determines there is good cause to do so. In the event the matter is reopened, you will be notified and given a further opportunity to participate in the investigation and any subsequent disciplinary prosecution.

You may ask that our decision to close this complaint without the imposition of discipline be reviewed by an attorney designated by the Chief Trial Counsel. If you wish to do so, your request must be in writing, addressed to the individual signing below, and postmarked *within 30 days of the date of this letter*. Review may result in: (1) rescission of the warning letter and dismissal of the complaint; (2) reopening of the matter and referral for formal disciplinary prosecution before the State Bar Court; or (3) a determination that the warning letter was appropriately issued, in which case no further action will be taken.

Thank you for your cooperation during the investigation of this matter.

[REDACTED]  
Deputy Trial Counsel